## The original symbol/signal debate <u>described</u> two ends of the principal component of Al's variations

- One end was early neural nets, pattern recognition, more numeric and statistical approaches
  - Emphasized learning and the search for simple basic principles
- The other end was about logic and rules, with a large dose of pragmatism
  - Emphasized making the system work, with lots of human input
  - Accepted that the final system would be complex, even if it started with the conceptual simplicity of logic
  - This end became expert systems and eventually GOFAI





## The two ends of AI emerged, I think, from the two grand philosophical traditions in the west at that time

- Rationalism saw thought and reason as akin to math and logic the only ways to certain knowledge
- Empiricism saw all knowledge as induced from data and thus never completely certain
  - but also as objective and true to this world in a way pure math could never be (because it was "true" in any world)
- Rationalist AI did logic and ungrounded, high-level stuff that was far from data
- Empiricist AI did learning and grounded, low-level stuff that was closer to data







#### Today, the debates are a little different

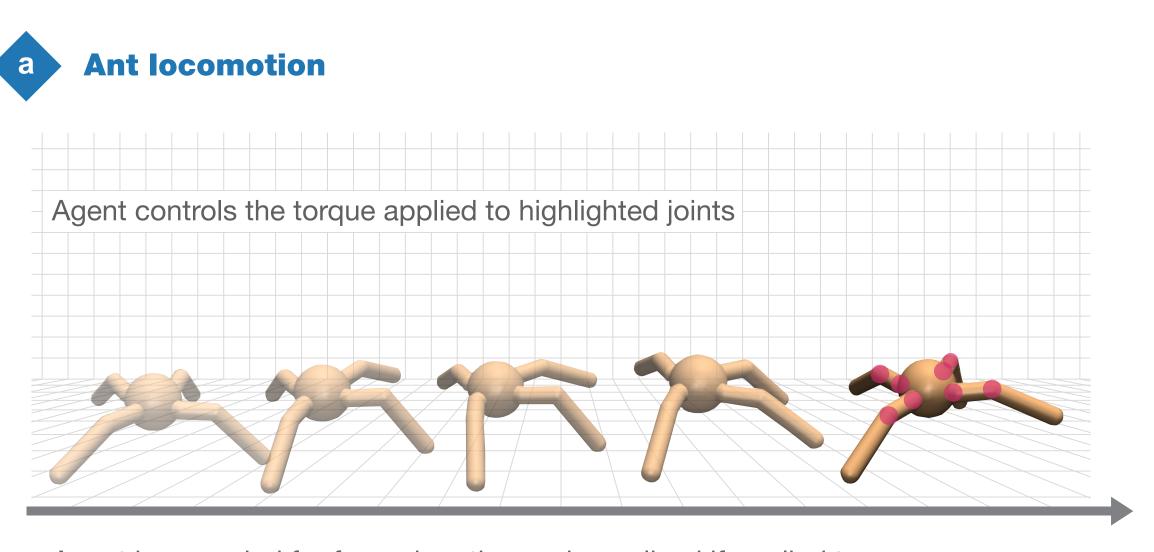
- 1. Everybody thinks learning is super-important, but they disagree about the importance of providing human help
- 2. Everybody thinks artificial neural networks are important, but some seek a static network while others embrace continual learning
- 3. Everybody agrees reasoning is important, but some see it as *logic*, others as *planning with a world model*
- 4. Everybody agrees data is important, but is it from human supervision, or agent experience?



# Some of my overall perspectives

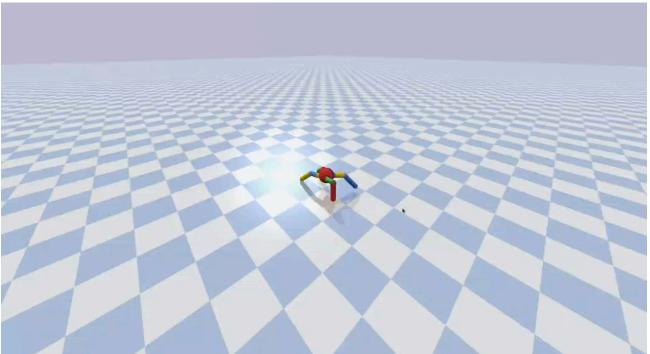
- I seek to understand and create intelligent agents
- The creation of super-intelligent agents, and super-intelligent augmented humans, will be an unalloyed good for the world (though the near future will be tough, as we are in a 4th turning)
- The path to intelligent agents runs through reinforcement learning (and not through LLMs, however amazing and useful those might be)
- The biggest bottleneck to ambitious AI is inadequate deep learning algorithms

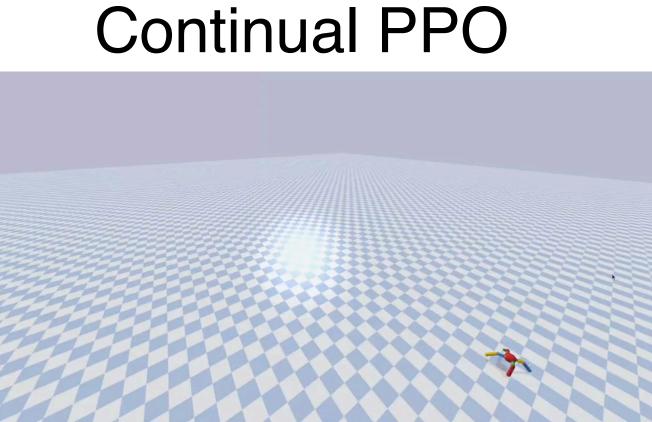
#### Loss of Plasticity in Reinforcement Learning



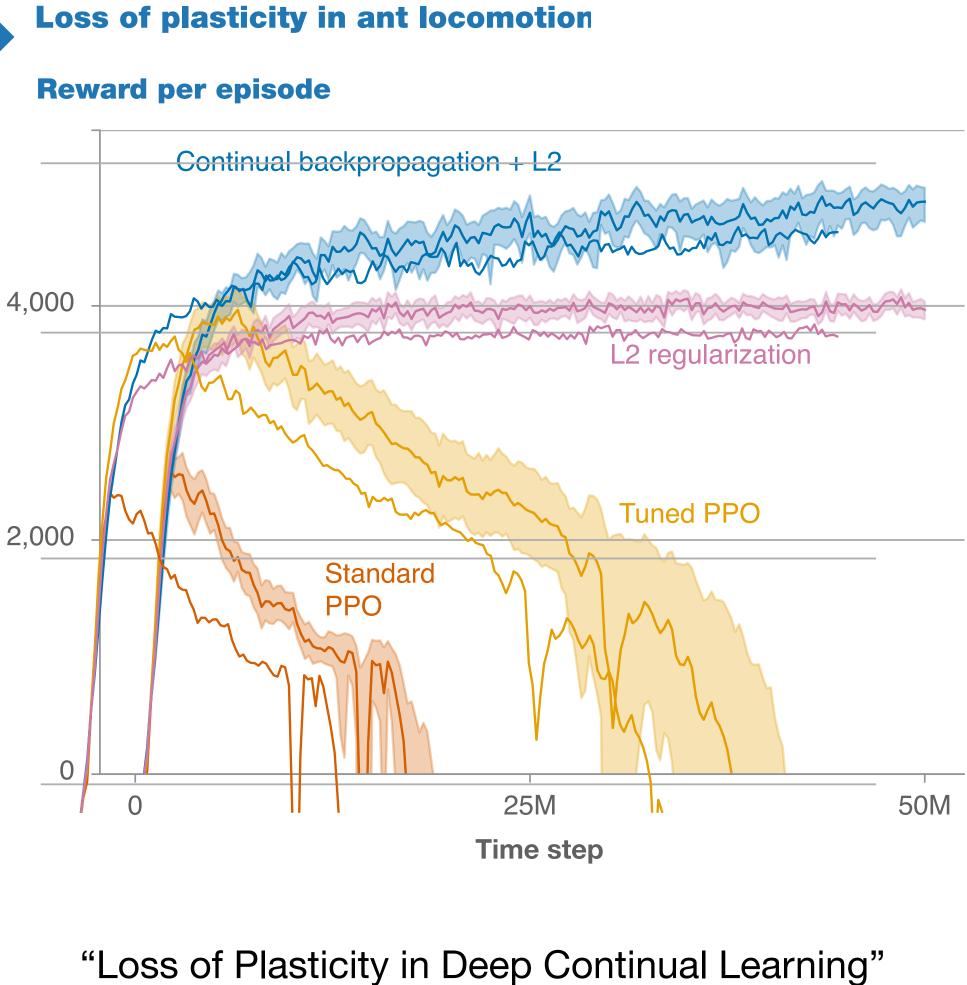
Agent is rewarded for foward motion and penalized if applied torque or contact forces are too large

#### PPO





С

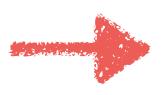


by Dohare, Hernandez-Garcia, Lan, Rahman, Mahmood, & Sutton, Nature 632, August 22, 2024



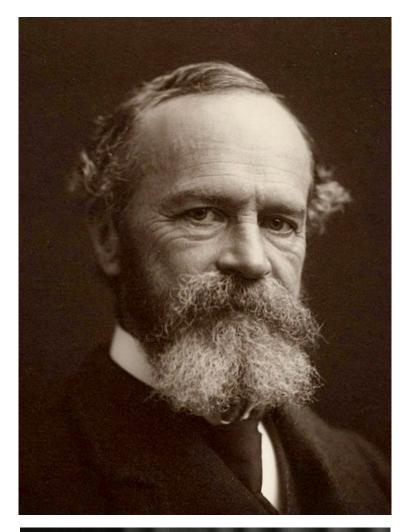
## Outline

- Reflections on AI debates
- A tiny bit on Loss of Plasticity



- Definitions of intelligence
  - Human flourishing (political remarks)
  - Learning from agent experience

# Definitions of "intelligence" Intelligence is:

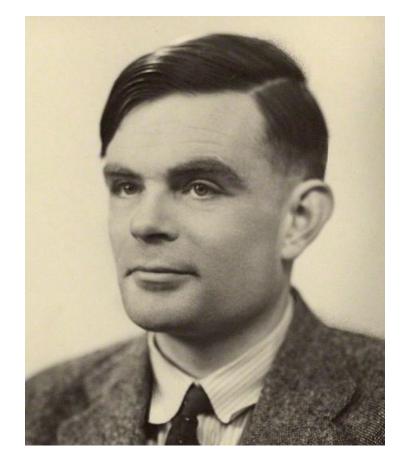


"behaving like a person" (the Turing Test)

"the ability to acquire and apply knowledge and skills" -Dictionary

"attaining consistent ends by variable means"

"the computational part of the ability to achieve goals" —John McCarthy, 1997



-Alan Turing? 1950? Founding father of CS

–William James, 1890 Founding father of Psych

Founding father of AI





#### Intelligence is the ability to achieve goals by adapting behavior -Rich Sutton

- Implicit in 'behavior' is that intelligence is a kind of real-time signal processing •
  - An intelligent agent exchanges signals with its world
- 'Behavior' is the agent's side of the interaction
  - Generally, the agent maps a summary of the interaction so far (state) to its outputs (actions)
- 'Goals' are outcomes that are achieved despite variations in the world
  - Reinforcement learning hypothesizes that all goals can be thought of as maximizing a scalar input signal (called 'reward')



**Alignment perspective** 

#### One goal, or to each his own?

- In reinforcement learning, each intelligent agent has its own goal •
- Just as, in nature, each animal has its own pains and pleasures •
- In AI and in nature, different agents have different goals
- In fact, our economies work best when different people have different goals • and different abilities
  - they *don't* rely on people having a shared goal, a common purpose •
- Decentralization is when we have many agents, each pursuing own goal •
- *Cooperation* is when agents with different goals interact to mutual benefit

Agents can live in peace, even when they all want different things



#### We are "homo cooperativus"; We cooperate more than any other animal

- Cooperation is facilitated by language and money (both unique to humans)
- Humanity's greatest successes are cooperations: economies, markets, governments
- Humanity's greatest failures are failures to cooperate: war, theft, corruption
- <u>Decentralized cooperation</u> is an alternative to common purpose
  - In my view it is more elegant: sustainable, robust, adaptive, flexible •
- Humans are better at cooperation than any other animal, but we are still terrible at it—we still have wars, theft, corruption, fraud



## We struggle to cooperate—it's not easy

- Cooperation is not always possible it takes two trustworthy agents
- There are always some who benefit from not cooperating: cheats, thieves, con men, weapons manufacturers, dictators
- Cooperation needs institutions to facilitate it and to punish cheaters, thieves, fraudsters, extortionists
- A centralized authority can help cooperation in the short term, but poison it in the long run (authoritarian and sclerotic governments)
  - Centralized control is the opposite of decentralized cooperation



#### There are many calls for centralized control of Al

- For controlling Al's goals
- For pausing or stopping AI research
- For limiting the computer power of Als
- For ensuring "safety" of Al
- For requiring disclosures of AI

The arguments for centralized control (in both cases) are eerily similar. They are based in fear. They are all about us vs. them. They demonize the other. They claim the other can't be trusted.

#### There are many calls for centralized control of people

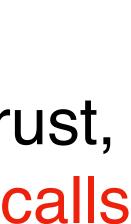
- For controlling speech and media
- For controlling trade
- For controlling employment
- For controlling finance
- For economic sanctions



# Summary of political remarks

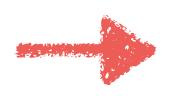
- Human flourishing comes from decentralized cooperation
- Humans are great at cooperation, but also terrible at it
- Cooperation is not always possible, but it is the source of all that is good in the world
  - We must look for it and support it, and seek to institutionalize it
- If we look with open eyes, it is easy to see who is calling for mistrust, non-cooperation, and centralized control; we should resist those calls





## Outline

- Reflections on AI debates
- A tiny bit on Loss of Plasticity
- Definitions of intelligence
- Human flourishing (political remarks)

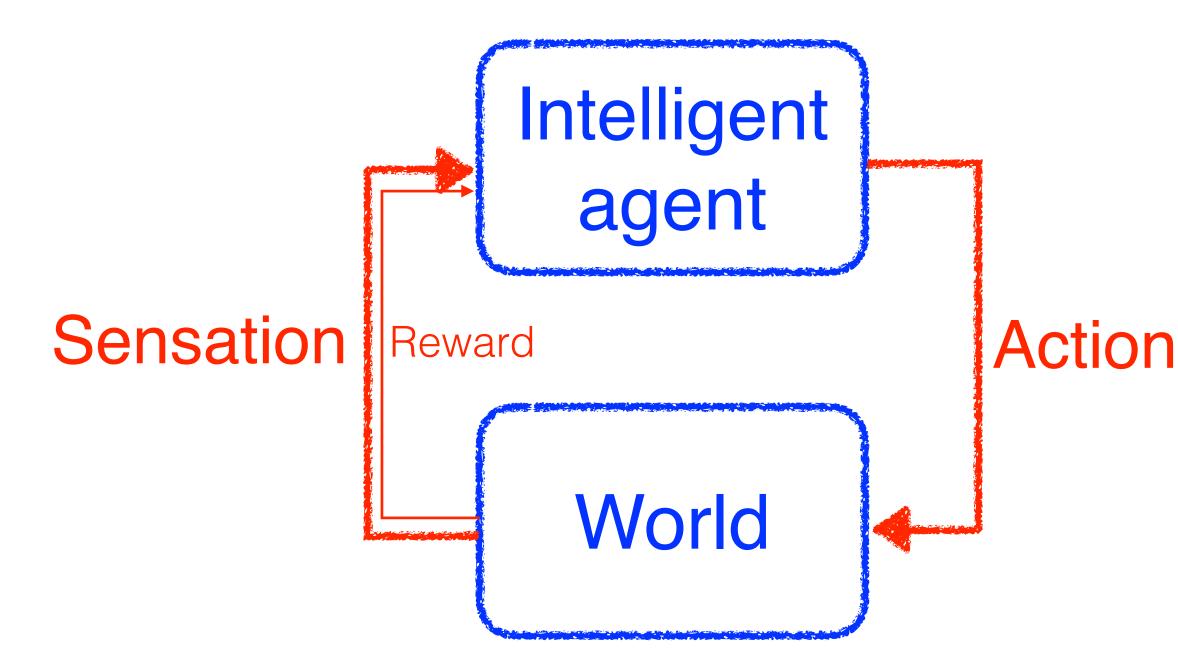


• Learning from agent experience

#### Intelligence as real-time high-bandwidth information processing (skilled perception and action) (agent experience)



#### Experience is the sensations and actions of an agent's ordinary interaction with the world



- Reinforcement learning involves experience
- Supervised learning and LLMs do not learn from experience; they learn from *special training data*
- Experience is the agent's only access to the world
  - Experience has no intrinsic meaning
    - except for *reward*, a special scalar part of the sensation, which is good







# Will intelligence ultimately be explained in

**Objective terms?** 

- states of the external world
- objects, people, places, relationships, atoms
- space, motion, distances
- things outside the agent

#### OR

**Experiential terms?** 

- sensations
- actions
- rewards
- time steps
- things inside the agent

## Main points / outline

- Over Al's seven decades<sup>\*</sup>, experience has played an increasing role; I see four major steps in this progression:
  - Step 1: Agenthood (having experience)
  - Step 2: Reward (goals in terms of experience)
  - Step 3: Experiential perception (state in terms of experience)
  - Step 4: **Predictive knowledge** (to know is to predict experience)
- For each step, AI has reluctantly moved toward experience in order to be more grounded, learnable, and scalable

# Today, reward—an experiential signal—is proposed as a sufficient way of formulating goals in AI

#### The reward hypothesis

"All of what we mean by goals and purposes can be well thought of as the maximization of the expected value of the cumulative sum of a received scalar signal (called reward)"

#### The reward-is-enough hypothesis

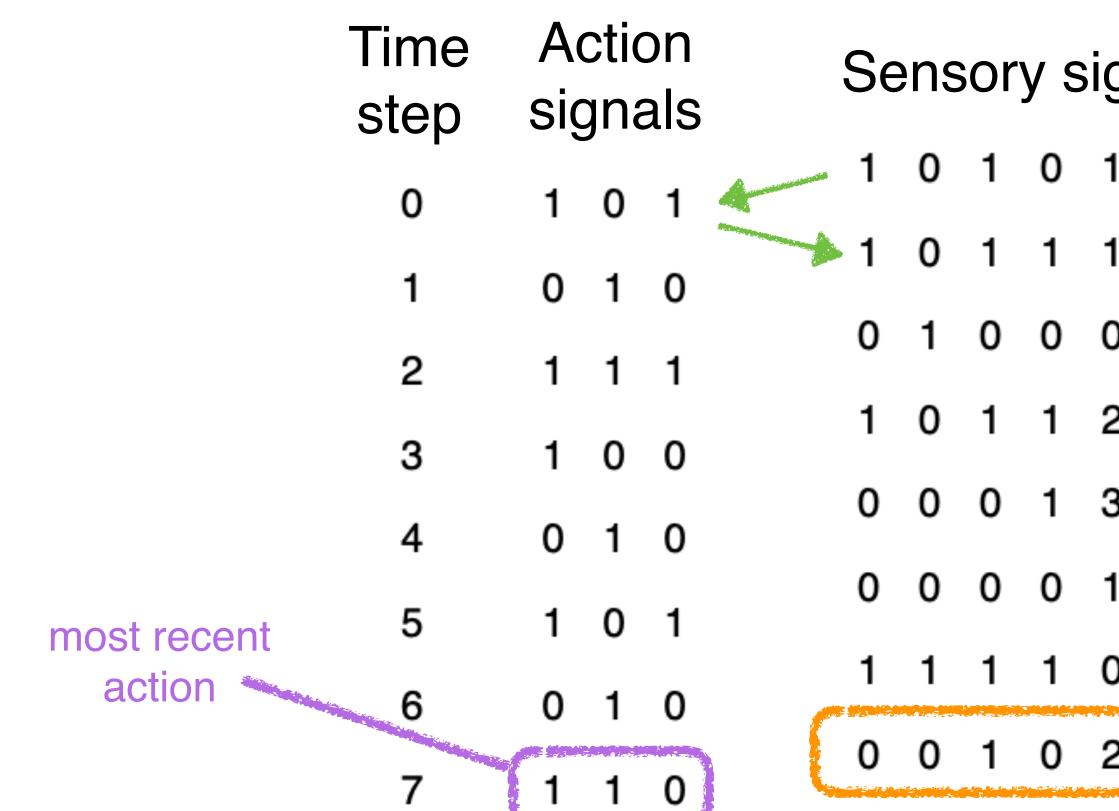
"intelligence, and its associated abilities, can be understood as subserving the maximisation of reward"

-Sutton & Barto 2018 (Littman)

—Silver, Singh, Precup & Sutton Artificial Intelligence 2021

An interlude: Introduction to Experience

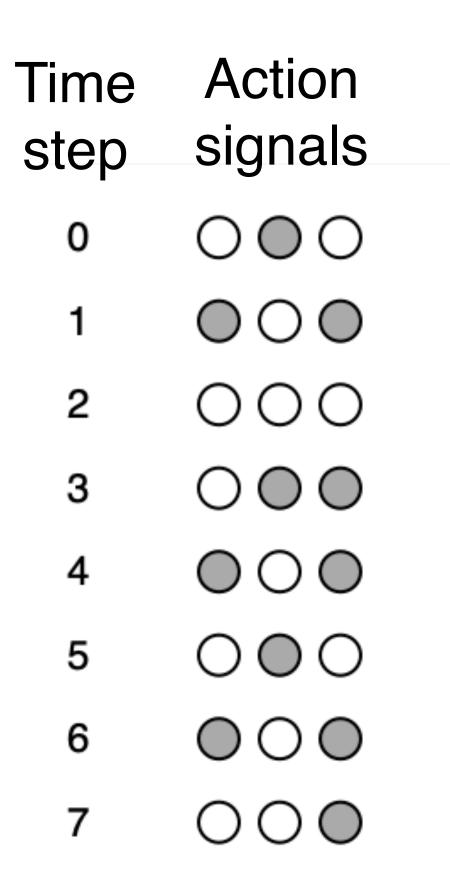
#### Experience — a concrete nonspecific example

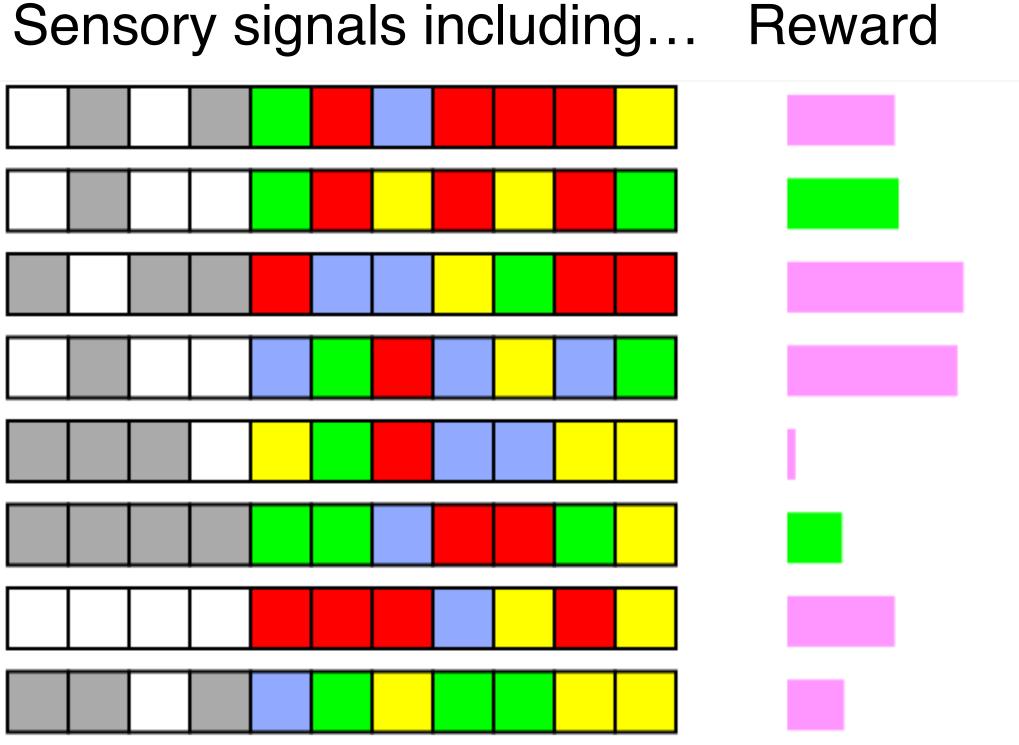


Experience up to time step 7 (think of a time step as  $\approx 0.1$  sec)

ignals including				ding	g	Reward		
1	0	2	0	0	0	3	-5.3	
1	0	3	0	3	0	1	5.5	
0	2	2	3	1	0	0	-8.7	
2	1	0	2	3	2	1	-8.4	
3	1	0	2	2	3	3	-0.4	
1	1	2	0	0	1	3	2.7	
0	0	0	2	3	0	3	-5.3	
2	1	3	1	1	3	3	-2.8	most recent sensation

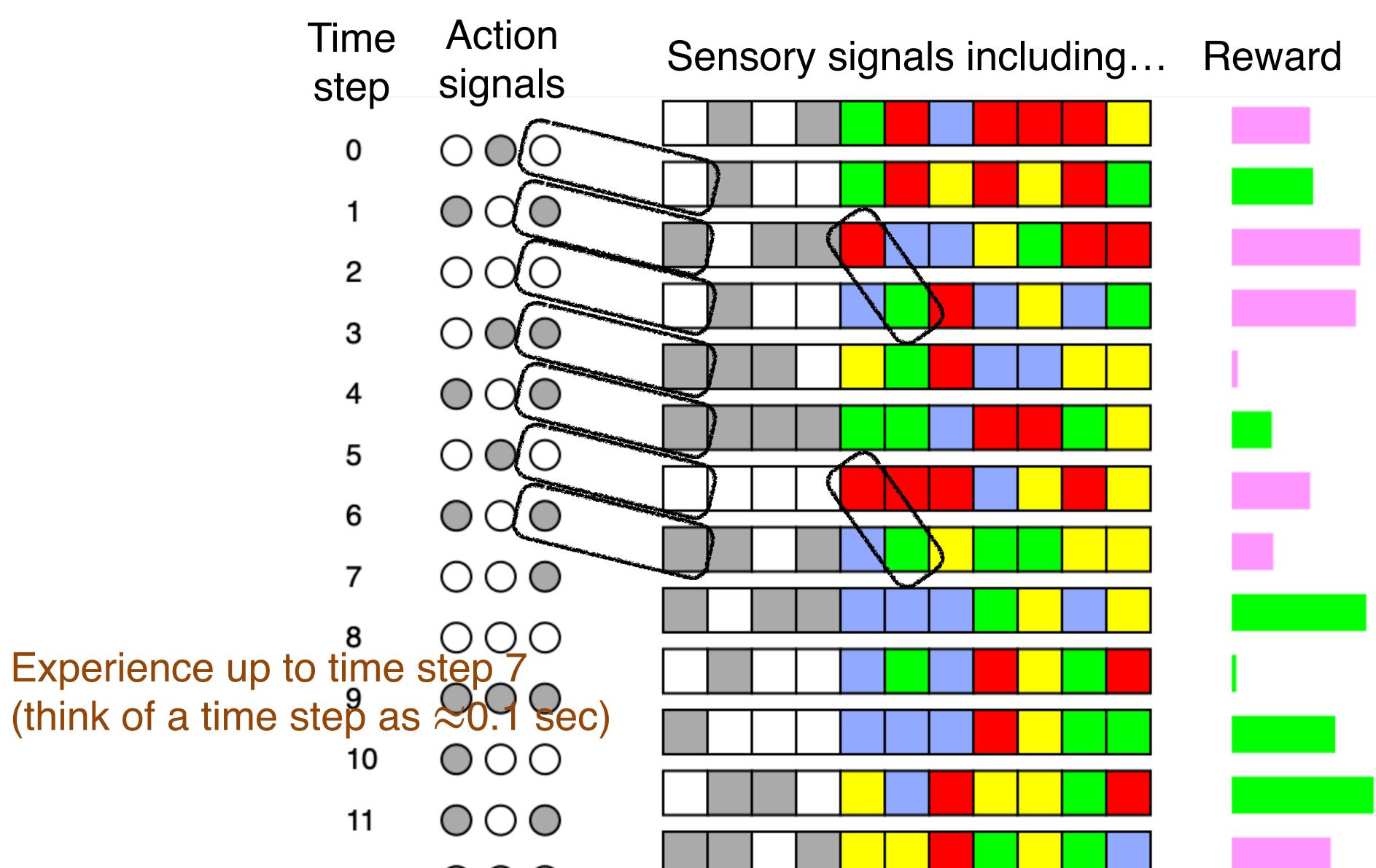
#### Experience — a concrete nonspecific example





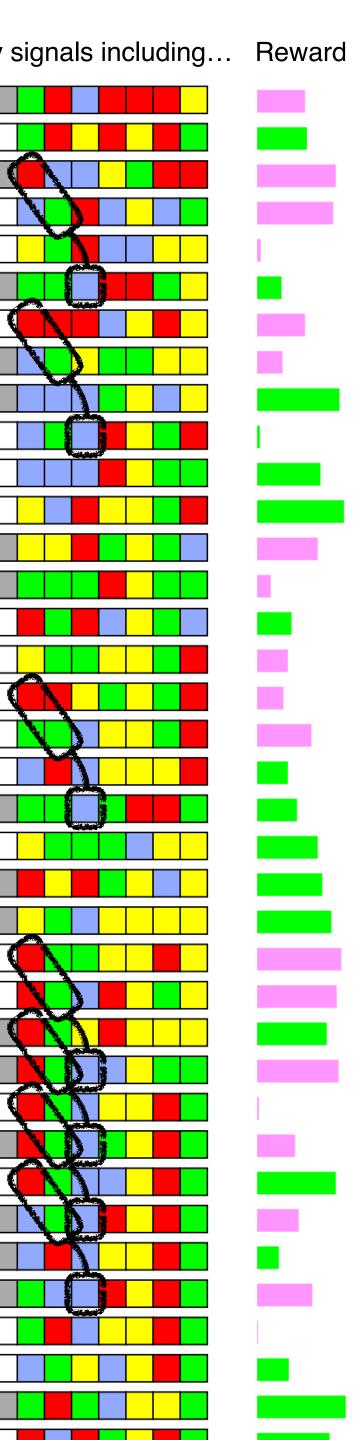
Experience up to time step 7 (think of a time step as  $\approx 0.1$  sec)

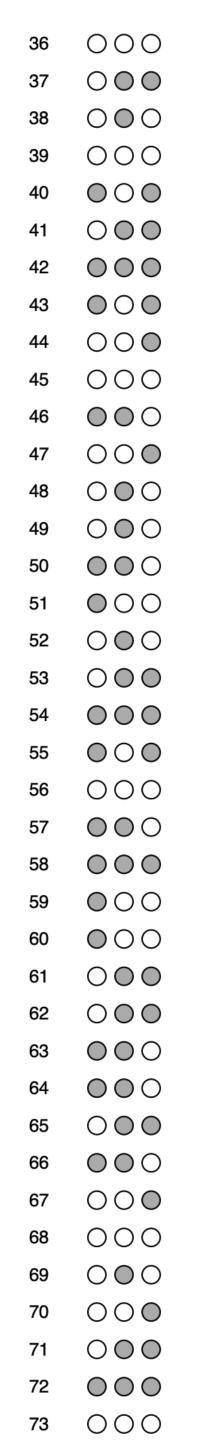
#### Experience — a concrete nonspecific example

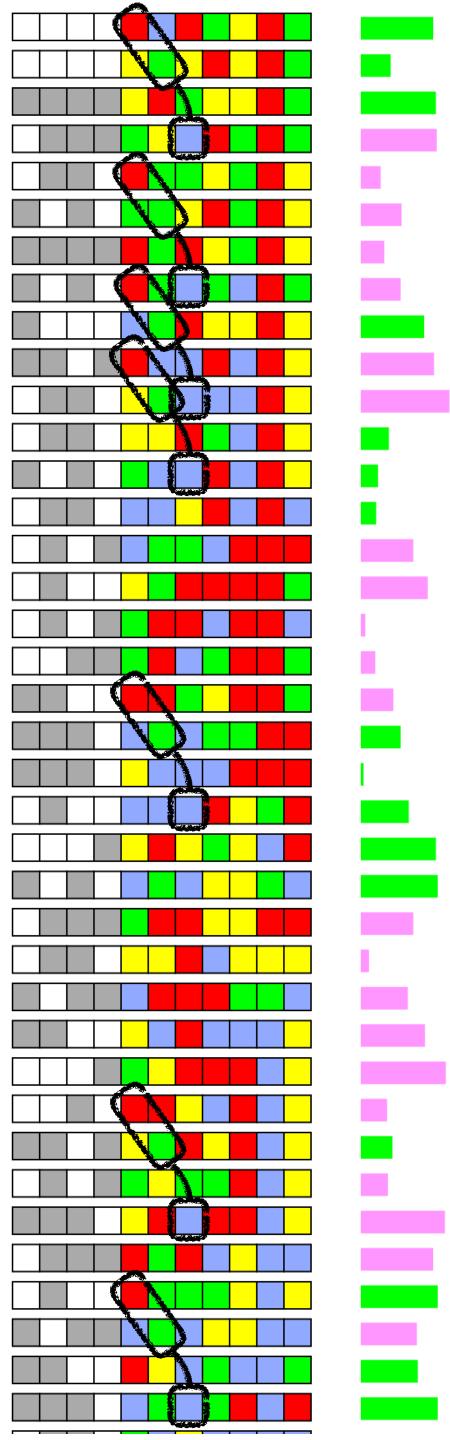


	Time step	Action signals	Sensory si
<ul> <li>Different sensory signals can be</li> </ul>	0	000	
qualitatively different from each other	1	$\bigcirc \bigcirc \bigcirc \bigcirc$	
<ul> <li>In their range of values</li> </ul>	2	000	
in the range of values	3	$\bigcirc \bigcirc \bigcirc \bigcirc$	
<ul> <li>In their predictive relationships</li> </ul>	4	$\bigcirc \bigcirc \bigcirc \bigcirc$	
• to action signals	5	000	
<ul> <li>to action signals</li> </ul>	6	000	
<ul> <li>to each other</li> </ul>	7 8	000	
	9	000	
<ul> <li>to themselves</li> </ul>	10	000	
<ul> <li>There are short-term and long-term</li> </ul>	11	$\bigcirc \bigcirc \bigcirc \bigcirc$	
patterns in these data	12	$\bigcirc \bigcirc \bigcirc \bigcirc$	
	13	$\bigcirc \bigcirc \bigcirc \bigcirc$	
<ul> <li>There are many things to predict</li> </ul>	14	$\bigcirc \bigcirc \bigcirc \bigcirc$	
Prodiction need not be just of the	15	$\bigcirc \bigcirc \bigcirc \bigcirc$	
<ul> <li>Prediction need not be just of the</li> </ul>	16	$\bigcirc \bigcirc \bigcirc$	
sensory signals	17	000	
<ul> <li>The most important predictions are of</li> </ul>	18	000	
functions of future sensory signals	19 20	$\bigcirc \bigcirc $	
	20	000	
<ul> <li>e.g., predictions of value, the</li> </ul>	22	000	
discounted sum of future reward	23	000	
<ul> <li>e.g., General value functions (GVFs)</li> </ul>	24	000	
• e.g., <i>General</i> value functions (GVFS)	25	$\bigcirc \bigcirc \bigcirc \bigcirc$	
<ul> <li>predict any signal, not just reward</li> </ul>	26	$\bigcirc \bigcirc \bigcirc \bigcirc$	
• ever a flavible temperature envelope	27	$\bigcirc \bigcirc \bigcirc \bigcirc$	
<ul> <li>over a flexible temporal envelope</li> </ul>	28	$\bigcirc \bigcirc \bigcirc \bigcirc$	
<ul> <li>contingent on any policy</li> </ul>	29	000	
	30	000	
<ul> <li>Predictions of different functions</li> </ul>	31	000	
can vary greatly in their ability to be	32 33		
learned with computational efficiency	33 34	$\bigcirc \bigcirc \bigcirc \bigcirc \bigcirc$	
	35	000	

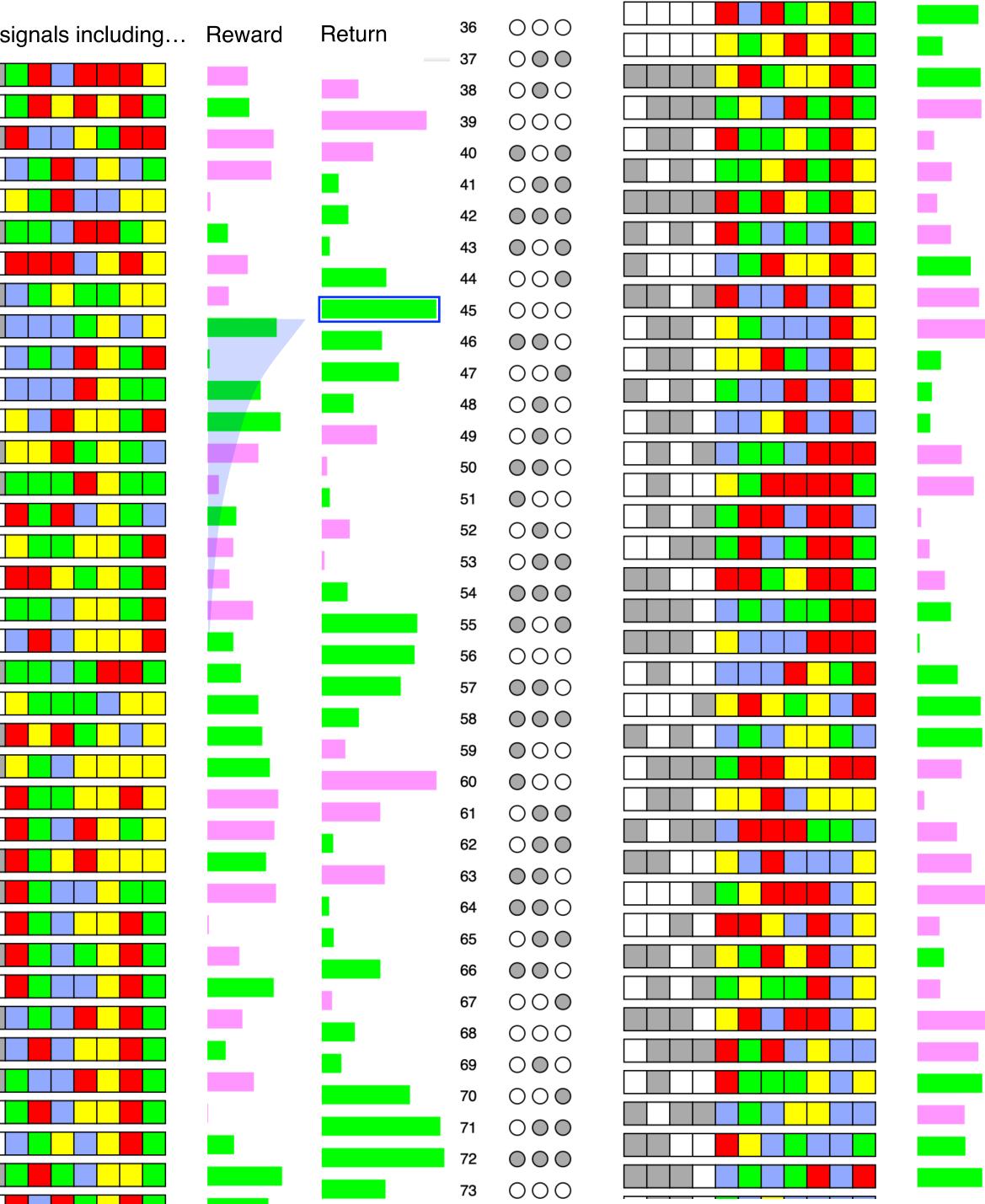
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	Time step	Action signals	Sensory si
<ul> <li>Different sensory signals can be</li> </ul>	0	000	
qualitatively different from each other	1	$\bigcirc \bigcirc \bigcirc \bigcirc$	
<ul> <li>In their range of values</li> </ul>	2	000	
	3	000	
<ul> <li>In their predictive relationships</li> </ul>	4	000	
<ul> <li>to action signals</li> </ul>	5 6	$\bigcirc \bigcirc \bigcirc \bigcirc \bigcirc$	
	7	000	
<ul> <li>to each other</li> </ul>	8	000	
<ul> <li>to themselves</li> </ul>	9	$\circ \circ \circ$	
	10	$\bigcirc \bigcirc \bigcirc \bigcirc$	
<ul> <li>There are short-term and long-term</li> </ul>	11	$\bigcirc \bigcirc \bigcirc \bigcirc$	
patterns in these data	12	000	
<ul> <li>There are many things to predict</li> </ul>	13	$\bigcirc \bigcirc \bigcirc \bigcirc$	
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<ul> <li>The most important predictions are of functions of future sensory signals</li> </ul>	19	$\bigcirc \bigcirc \bigcirc \bigcirc$	
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<ul> <li>e.g., General value functions (GVFs)</li> </ul>	25	000	
<ul> <li>predict any signal, not just reward</li> </ul>	26	$\bigcirc \bigcirc \bigcirc \bigcirc$	
	27	$\bigcirc \bigcirc \bigcirc \bigcirc$	
<ul> <li>over a flexible temporal envelope</li> </ul>	28	$\bigcirc \bigcirc \bigcirc \bigcirc$	
<ul> <li>contingent on any policy</li> </ul>	29	000	
	30	000	
<ul> <li>Predictions of different functions</li> </ul>	31 32	$\bigcirc \bigcirc $	
can <i>vary greatly</i> in their ability to be	33	000	
learned with computational efficiency	34	000	



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# Main points / outline

- I see four major steps in this progression:
  - Step 1: Agenthood (having experience)
  - Step 2: Reward (goals in terms of experience)
- Step 3: Experiential state (state in terms of experience)

• Over Al's seven decades, experience has played an increasing role;

Step 4: **Predictive knowledge** (to know is to predict experience)

 For each step, AI has reluctantly moved toward experience in order to be more grounded, learnable, and scalable

The alternative to objective state is *experiential state*: a state of the world defined entirely in terms of experience

#### **Experiential state** is

a summary of past experience

"a summary of the past that is good for predicting the future"

No mention of external entities "out there" in the world

# that is useful for predicting and controlling future experience

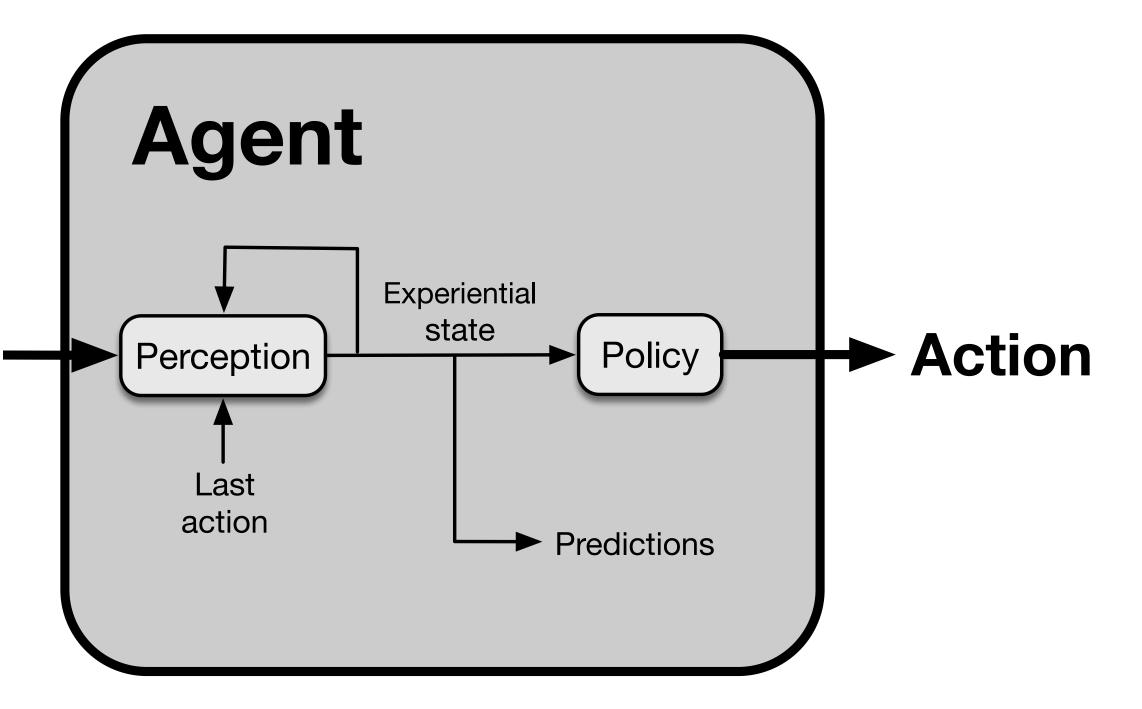




## Experiential state should be recursively updated

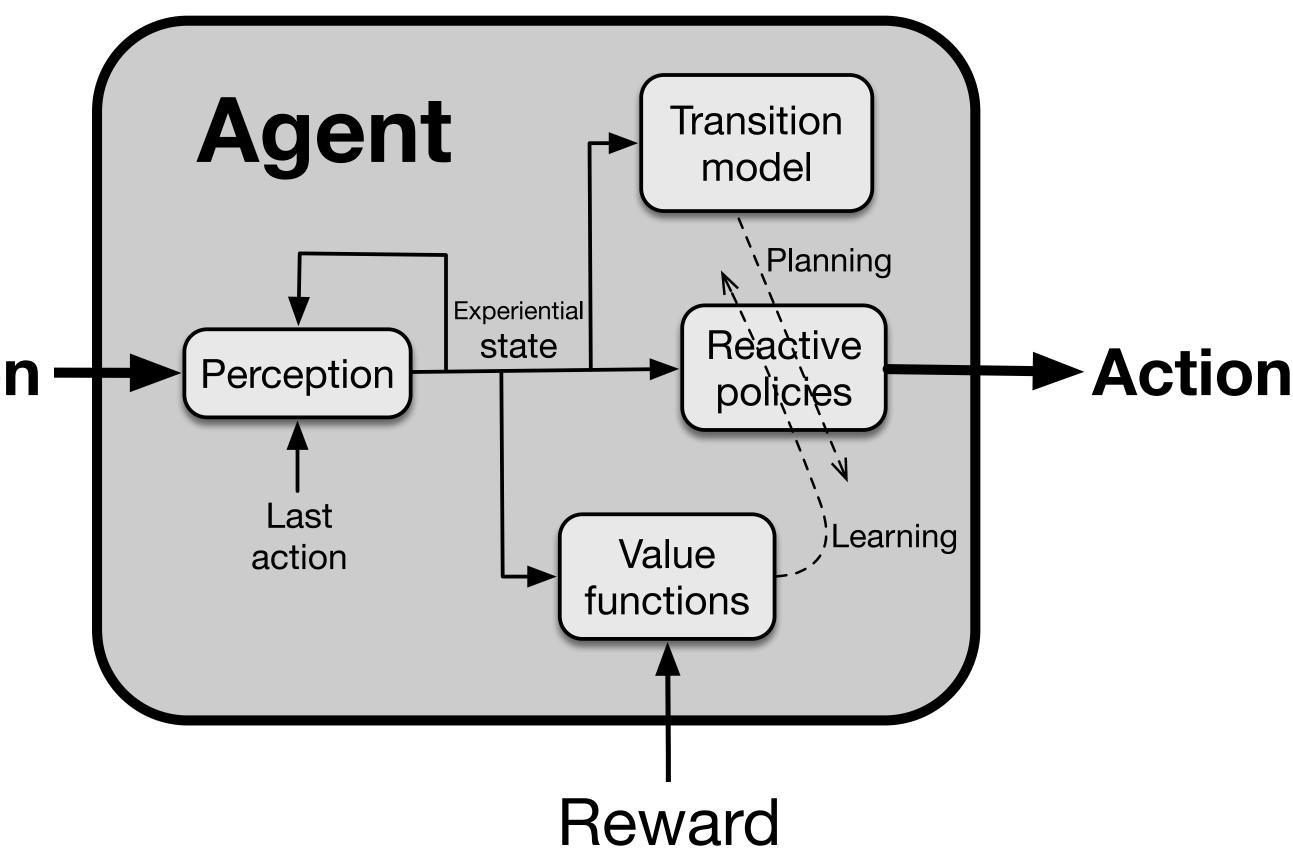
Sensation

Experiential state is a summary of past experience that is useful for predicting and controlling future experience



## Combining all the experiential steps, we get the common model of the experiential agent

- Step 1: Agenthood (sensation & action)
- Step 2: Reward
- Step 3: Experiential state **Sensation** (perception)
- Step 4: Predictive knowledge
  - state-to-experience prediction (value functions)
  - state-to-state prediction (transition model)





# Main points / outline

- Over Al's seven decades, experience has played an increasing role; I see four major steps in this progression:
  - Step 1: Agenthood (having experience)
  - Step 2: Reward (goals in terms of experience)
  - Step 3: Experiential state (state in terms of experience)



- Step 4: Predictive knowledge (to know is to predict experience)
- For each step, AI has reluctantly moved toward experience in order to be more grounded, learnable, and scalable

Much world knowledge does not seem to be about experience

- Joe Biden is president of the US
- The Eiffel tower is in Paris
- Most birds have wings
- Oregon is North of California
- The car is 10 meters ahead
- Fire engines are red

Other knowledge seems more like predictions of experience

- It is a long walk to the city centre
- I can dead-lift 200 pounds
- It is cold outside today
- My spouse is blond
- My foot is sore

6

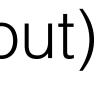
• The 7th pixel will be blue in 3 steps





#### World knowledge

- World knowledge does not include mathematical knowledge
  - math is true in any world, thus is not even about this world
- World knowledge can be divided into two types
  - knowledge about state (which we have already talked about)
  - knowledge about state transitions, i.e., a predictive model of the world



#### A state-to-state transition model need not be low level

- A transition model need not be differential equations or a MDPs
- A transition model can be abstract in state (e.g., experiential state)
- A transition model can be abstract in time
  - Predictions can be conditioned on *entire ways of behaving* (options)
    - an option is a policy plus a termination condition
    - transition models for options are well understood
- Option models may be able to bridge the abstraction gap between experience and knowledge



#### In summary...

- experience in Al
- For each step,
- experience

#### • I have discussed four major steps in the increasing role of sensorimotor

• Al has chosen first to work in objective, non-experiential terms

 But there is less-familiar approach, based on experience, with important advantages in grounding, learnability, and scaling

• The trend toward sensorimotor experience in AI has much further to go

Ultimately, the story of intelligence may be told in terms of sensorimotor







# Data drives Al

# Experience is the ultimate data

with special thanks to Satinder Singh, Patrick Pilarski, Adam White, and Andy Barto

#### Thank you for your attention

# Anticipating some objections and questions...

Q. Not everything is learned from experience; some things are built in

but that "everything is <u>about</u> experience"

we can add the links to experience later

Q. The abstraction gap between experience and knowledge is so big!

- A. True, but irrelevant. The point is not that "everything is learned from experience,"
- Q. Surely people can build in important abstractions, saving the agent a lot of time;
  - A. This has been tried, but never successfully at scale. Remember The Bitter Lesson
  - A. Possibly knowledge could be built in *after* the experiential abstractions exist
  - A. Yes, but so is computer power and human ingenuity. We should be ambitious!

